

STATE OF NEW YORK  
 WORKERS' COMPENSATION BOARD

THIS AGENCY EMPLOYS AND SERVES  
 PEOPLE WITH DISABILITIES WITHOUT  
 DISCRIMINATION.

STATEMENT FOR A GOVERNMENT ENTITY THAT A BUSINESS DOES NOT REQUIRE  
 WORKERS' COMPENSATION AND/OR DISABILITY BENEFITS COVERAGE

Applicant's Name	Business or Trade Name, If Different
Applicant's Home Address	Business Address, If Different
	Job Location
Home Telephone Number	Business Telephone Number, If Different
Type of Business	Federal Employer Identification Number

Under penalty of perjury, I certify that the above business does not require  Workers' Compensation  Disability Benefits coverage because:

A. Check one:

- the business is owned by one individual with no employees and is not a corporation.
- the business is a partnership under the laws of New York State, and there are no employees.
- the business is a one or two person owned corporation, with those individuals owning all of the stock and holding all offices of the corporation, and there are no employees.
- the business does not require disability benefits coverage at this time since it has not employed one or more individuals on each of at least 30 days in any calendar year.
- (Please specify other reason)

AND

B. I hereby agree not to engage an employer or any employees, as those terms are defined in Section Two of the Workers' Compensation Law, to perform work relating to the permit being sought by this certification.

I also agree to acquire appropriate workers' compensation and/or disability benefits coverage for the above business, if circumstances change so that such coverage is required.

Date Signed: \_\_\_\_\_ By: \_\_\_\_\_  
(Signature of Business Owner, Partner or Corporate Officer)

Requested Effective Dates: from \_\_\_\_\_ to \_\_\_\_\_ Title: \_\_\_\_\_  
(One Year Maximum)

(Business Owners: Please Send Completed Application to Nearest WCB Enforcement Unit.)

**ANY FALSE STATEMENT, REPRESENTATION, OR CONCEALMENT WILL SUBJECT YOU TO FELONY CRIMINAL PROSECUTION, INCLUDING JAIL AND CIVIL LIABILITY IN ACCORDANCE WITH THE WORKERS' COMPENSATION LAW**

In conformance with Sections 57 and 220 Subd. 8 of the Workers' Compensation Law, based on the foregoing certification made by the above business, the Workers' Compensation Board has no objections, at this time, to the issuance of requested permits or contracts.

Date Signed: \_\_\_\_\_ By: \_\_\_\_\_  
(Signature of WCB Employee)

Telephone Number: \_\_\_\_\_ Title: \_\_\_\_\_

**Please Note: This Statement is valid only from \_\_\_\_\_ to \_\_\_\_\_ (one year maximum).** At the expiration of this term, if the business continues to be named on a permit or contract issued by a government entity, the business must provide that government entity with a new Statement. **The business must provide a Certificate of Workers' Compensation and Disability Benefits Coverage to the government entity if circumstances change so that such coverage is required during this period.** Further, it is understood that the Board reserves the right to request revocation of the permit or contract if, after investigation, the Board finds that the above business is required to have workers' compensation and/or disability benefits coverage.

**\*\*This form cannot be used to waive the workers' compensation rights or obligations of a subcontractor\*\***